

PATENT Dkt. STL11421

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Clark E. Lubbers and Randy L. Roberson

Assignee:

SEAGATE TECHNOLOGY LLC

Application No.:

10/658,982

Group No.: 3728

Filed:

September 10, 2003

Examiner: Unknown

For: ADAPTIVE MAPPING

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

TRANSMITTAL OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT WITHIN THREE MONTHS OF FILING OR BEFORE-MAILING OF FIRST OFFICE ACTION (37 C.F.R. § 1.97(b))

IDENTIFICATION OF TIME OF FILING THE ACCOMPANYING INFORMATION DISCLOSURE STATEMENT

The supplemental information disclosure statement submitted herewith is being filed within three months of the filing date of the application or date of entry into the national stage of an international application or before the mailing date of a first Office action on the merits, whichever event occurs last, 37 C.F.R. § 1.97(b).

Respectfully submitted

Mitchell K. McCarthy, Registration No. 38,794 Randall K. McCarthy, Registration No. 39,297

Fellers, Snider, Blankenship, Bailey & Tippens, P.C.

100 North Broadway, Suite 1700 Oklahoma City, OK 73102-8820

Telephone: 405-232-0621

Fax: 405-232-9659 Customer No. 33900

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SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

List of Sections Forming Part of This Information Disclosure Statement

The following sections are being submitted for this Information Disclosure Statement:

- 1. Preliminary Statements
- 2. Forms PTO/SB/08A and 08B (formerly Form PTO-1449)
- 3. Copies of Listed Information Items Accompanying This Statement
- 4. Identification of Person(s) Making This Information Disclosure Statement

Section 1. Preliminary statements

Applicant submits herewith patents, publications or other information, of which they are aware that they believe may be material to the examination of this application, and in respect of which, there may be a duty to disclose.

The filing of this information disclosure statement shall not be construed as a representation that a search has been made (37 C.F.R. § 1.97(g)), an admission that the information cited is, or is considered to be, material to patentability, or that no other material information exists.

The filing of this information disclosure statement shall not be construed as an admission against interest in any manner. Notice of January 9, 1992, 1135 O.G. 13-25, at 25.

PTO/SB/08a (07-05)

3728

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE JUL 1 1 2005 re required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO Application Number 10/658,982 Filing Date 09/10/2003 INFORMATION DISCLOSURE First Named Inventor Clark E. Lubbers

Art Unit

STATEMENT BY APPLICANT

Examiner Name (Use as many sheets as necessary) 2 Attorney Docket Number | STL11421

U. S. PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Document Numb Number-Kind Code ^{2 (#}		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
	AA	us- 6,895,467	B2	05/17/2005	Lubbers, et al.	**************************************
	AB	^{US-} 6,789,156	B1	09/07/2004	Waldspurger	
	AC	l ^{us-} 6,745,189	B2	06/01/2004	Schreiber	
	AD	us- 6,505,283	B1	01/07/2003	Stoney	
	AE	^{US-} 6,445,680	B1	09/03/2002	Moyal	
	AF	^{US-} 6,356,897	B1	03/12/2002	Gusack	
	AG	^{US-} 6,173,291	<u>B1</u>	01/09/2001	Jenevein	
	-AH-			11/21/2000	Li, et al.	
y	AI	^{US-} 6,117,185		09/12/2000	Schmidt	
	AJ	us- 5,956,723		09/21/1999	Zhu	
	AK	^{US-} 5,933,592	,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,	08/03/1999	Lubbers, et al.	
	AL	^{US-} 5,832,526		11/03/1998	Schuyler	
	AM			06/02/1998	Lubbers, et al.	
	AN	^{US-} 5,671,406		09/23/1997	Lubbers, et al.	
	AO			08/19/1997	Lubbers, et al.	
	AP	^{US-} 5,566,328		10/15/1996	Eastep	
	AQ	^{US-} 5,359,724		10/25/1994	Earle	
	AR	^{US-} 4,827,462		05/02/1989	Flannagan, et al.	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	Τ ⁶
	BA	JP 2004-038928 A2	02/05/2004	Network Appliance Inc.		

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number. Complete if Known Substitute for form 1449A/PTO **Application Number** 10/658,982 Filing Date INFORMATION DISCLOSURE 09/10/2003 First Named Inventor Clark E. Lubbers

STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet

Art Unit 3728 **Examiner Name** Attorney Docket Number STL11421

U. S. PATENT DOCUMENTS Examiner **Document Number Publication Date** Name of Patentee or Pages, Columns, Lines, Where MM-DD-YYYY Initials* Nο Applicant of Cited Document Relevant Passages or Relevant Number-Kind Code^{2 (if known)} Figures Appear us- 2005/0055529 03/10/2005 Lubbers, et al. AS us- 2003/0079102 04/24/2003 Lubbers, et al. AT US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document Country Code ³ 'Number ⁴ 'Kind Code ⁵ (<i>if known</i>)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T⁵
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Examiner	Date	
Signature	Considered	
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 1 Applicant's unique citation designation number (optional). 2 See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 3 Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). 4 For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 5 Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. 6 Applicant is to place a check mark here if English language Translation is attached.

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Section 3. Copies of Listed Information Items Accompanying This Statement

Legible copies of all items listed in Forms PTO/SB/08A (substitute for Form PTO-1449) accompany this information statement.

Section 4. Identification of Person Making This Information Disclosure Statement

The person making this certification is:

a. the practitioner who signs below on the basis of the information supplied by the inventor(s) and in the practitioner's file.

Date: 7/11/2005

Respectfully submitted,

Mitchell K. McCarthy, Registration No. 38,794 Randall K. McCarthy, Registration No. 39,297

Fellers, Snider, Blankenship, Bailey & Tippens, P.C.

100 North Broadway, Suite 1700 Oklahoma City, OK 73102-8820

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